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Status of conventions and model laws

Note by the Secretariat

1. At its thirteenth session (1980), the Commission decided that it would consider, at each of its sessions, the status of conventions that were the outcome of work carried out by it (A/35/17, para. 163).

2. The present note sets forth the status of the conventions and model laws emanating from the work of the Commission. It also shows the status of the Convention on the Recognition and Enforcement of Foreign Arbitral Awards (New York, 1958), which, although adopted prior to the establishment of the Commission, is closely related to the work of the Commission in the area of international commercial arbitration.

3. This note indicates the changes since 6 June 2000, when the last report in this series (A/CN.9/474) was issued. States that have become Parties to a convention or enacted legislation based on a model law since the preparation of the last report are shown in bold letters in the respective list in this note.

4. The information contained in this note relating to the UNCITRAL conventions and model laws is current up to 25 June 2001. For regularly updated treaty information after the issuance of this document, please refer to the UNCITRAL homepage on the Internet (http://www.uncitral.org) or, alternatively, contact the Secretariat unit which discharges the depositary functions of the Secretary-General in respect of multilateral treaties: Treaty Section, Office of Legal Affairs, United Nations Headquarters (http://www.un.org./Depts/Treaty/)(tel.: 212-963-5472, fax: 212-963-3693).

5. The following texts are covered in this note (the term "action" hereinafter is used generically to denote an instrument of ratification, approval, acceptance or accession, which has been deposited in respect of a treaty, or a participation in a treaty as a result of an action to a related treaty):

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Convention on the Limitation Period in the International Sale of Goods, concluded at New York on 14 June 1974, as amended by the Protocol of 11 April 1980 (17 States Parties);

[Unamended] Convention on the Limitation Period in the International Sale of Goods (New York, 1974) (new action by Yugoslavia: 24 States Parties);

United Nations Convention on the Carriage of Goods by Sea, 1978 ("Hamburg Rules") (new actions by Jordan and Saint Vincent and the Grenadines: 28 States Parties);

United Nations Convention on Contracts for the International Sale of Goods (Vienna, 1980) (new actions by Iceland, St. Vincent and the Grenadines, and Yugoslavia: 59 States Parties);

United Nations Convention on International Bills of Exchange and International Promissory Notes (New York, 1988) (the Convention has two actions; it requires ten actions for entry into force);

United Nations Convention on the Liability of Operators of Transport Terminals in International Trade (Vienna, 1991) (the Convention has two actions; it requires five actions for entry into force);

United Nations Convention on Independent Guarantees and Stand-by Letters of Credit (New York, 1995) (5 States Parties)

Convention on the Recognition and Enforcement of Foreign Arbitral Awards (New York, 1958) (new actions by Honduras, Saint Vincent and the Grenadines, and Yugoslavia: 126 States Parties);

UNCITRAL Model Law on International Commercial Arbitration, 1985 (new legislation adopted on the basis of the Model Law in Belarus, Greece, Madagascar and the Republic of Korea);

UNCITRAL Model Law on International Credit Transfers (1992);

UNCITRAL Model Law on Procurement of Goods, Construction and Services (1994);

UNCITRAL Model Law on Electronic Commerce (1996) (new legislation adopted on the basis of the Model Law by Ireland, Philippines, Slovenia, the States of Jersey (Crown Dependency of the United Kingdom of Great Britain and Northern Ireland));

UNCITRAL Model Law on Cross-Border Insolvency (1997) (new legislation adopted on the basis of the Model Law in South Africa).

I. Participation in conventions

1. Convention on the Limitation Period in the International Sale of Goods, concluded at New York on 14 June 1974, as amended by the Protocol of 11 April 1980

State 1/	*Accession (a), succession (d) or participation under Article VIII or XI* of the Protocol of 11 April 1980 (**)*	*Entry into force*
Argentina	19 July 1983 a	1 August 1988
Belarus	23 January 1997 a	1 August 1997
Cuba	2 November 1994 a	1 June 1995
Czech Republic 2/ 3/	30 September 1993 d	1 January 1993
Egypt	6 December 1982 a	1 August 1988
Guinea	23 January 1991 a	1 August 1991
Hungary	16 June 1983 a	1 August 1988
Mexico	21 January 1988 a	1 August 1988
Poland	19 May 1995	1 December 1995
Republic of Moldova	28 August 1997 a	1 March 1998
Romania	23 April 1992 a	1 November 1992
Slovakia 2/	28 May 1993 d	1 January 1993
Slovenia	2 August 1995	1 March 1996
Uganda	12 February 1992	1 September 1992
United States of America 3/	5 May 1994	1 December 1994
Uruguay	1 April 1997	1 November 1997
Zambia	6 June 1986 a	1 August 1988

Parties: 17

*/ In accordance with its article VIII (1), the Protocol is open for accession by all States.

In accordance with article VIII (2) of the Protocol, accession to the Protocol by any State which is not a Contracting Party to the unamended Convention, shall have the effect of accession to the amended Convention, subject to the provisions of article XI of the Protocol.

In accordance with article X of the Protocol, ratification of or accession to the unamended Convention after the entry into force of the Protocol shall also constitute a ratification of or accession to the amended Convention if the State notifies the depositary accordingly.

** For information on which States listed above are Parties to the 1980 amending Protocol contact the Treaty Section, which performs the functions of the depositary in respect of the Protocol (see paragraph 4 above).

1/ The German Democratic Republic was a participant by virtue of its accession on 31 August 1989 to the Protocol of 11 April 1980.

2/ Czechoslovakia was a participant to the Convention and the Protocol by virtue of its accession to the Protocol on 5 March 1990.

N.B. The text of the Convention, as amended, has been established by the Secretary-General, as provided for by article XIV of the Protocol.

Declarations and reservations

3/ Upon accession, Czechoslovakia and the United States of America declared that, pursuant to article XII, they did not consider themselves bound by article I.

2. [Unamended] Convention on the Limitation Period in the International Sale of Goods (New York, 1974) +/

State a	*Signature*	*Ratification, Accession (a), Succession (d) or Participation under article XI of the Protocol of 11 April 1980 (**)(p)*	*Entry into force c*
Argentina		9 October 1981 a	1 August 1988
Belarus	14 June 1974	23 January 1997 p	1 August 1997
Bosnia and Herzegovina		12 January 1994 d	6 March 1992
Brazil	14 June 1974		
Bulgaria	24 February 1975		
Burundi		4 September 1998 a	1 April 1999
Costa Rica	30 August 1974		
Cuba		2 November 1994 p	1 June 1995
Czech Republic b		30 September 1993 d	1 January 1993
Dominican Republic		23 December 1977 a	1 August 1988
Egypt		6 December 1982 p	1 August 1988
Ghana	5 December 1974	7 October 1975	1 August 1988
Guinea		23 January 1991 a	1 August 1991
Hungary	14 June 1974	16 June 1983	1 August 1988
Mexico		21 January 1988 a	1 August 1988
Mongolia	14 June 1974		
Nicaragua	13 May 1975		

State a/	*Signature*	*Ratification, Accession (a), Succession (d) or Participation under article XI of the Protocol of 11 April 1980 c**/(p)*	*Entry into force:*
Norway 1	11 December 1975	20 March 1980	1 August 1988
Poland	14 June 1974	19 May 1995	1 December 1995
Republic of Moldova		28 August 1997 a	1 March 1998
Romania		23 April 1992 a	1 November 1992
Russian Federation c/	14 June 1974		
Slovakia b/		28 May 1993 d	1 January 1993
Slovenia		2 August 1995 p	1 March 1996
Uganda		12 February 1992 a	1 September 1992
Ukraine	14 June 1974	13 September 1993	1 April 1994
United States of America		5 May 1994 a	1 December 1994
Uruguay		1 April 1997 a	1 November 1997
Yugoslavia d/		**12 March 2001 d**	**27 April 1992**
Zambia		6 June 1986 p	1 August 1988

Parties: 24

a/ The Convention was concluded in equally authentic Chinese, English, French, Russian and Spanish texts. On 11 August 1992, the Secretary-General, in accordance with a request of the United Nations Commission on International Trade Law, circulated a proposal for the adoption of an authentic Arabic text of the Convention. No objections having been raised, the Arabic text was deemed adopted on 9 November 1992 with the same status as that of the other authentic texts referred to in the Convention.

**/ In accordance with article XI of the Protocol, the Contracting Parties to the amended Convention are considered to be also Contracting Parties to the unamended Convention in relation to any Contracting Party to the unamended Convention not yet a Contracting Party to the 1980 Protocol, unless the depositary is notified to the contrary.

a/ The Convention had been signed by the former German Democratic Republic on 14 June 1974, ratified by it on 31 August 1989 and entered into force on 1 March 1990.

b/ The Convention was signed by the former Czechoslovakia on 29 August 1975 and an instrument of ratification was deposited on 26 May 1977, with the Convention entering into force for the former Czechoslovakia on 1 August 1988. On 28 May 1993 Slovakia, and on 30 September 1993 the Czech Republic, deposited instruments of succession with effect from 1 January 1993, the date of succession of States.

c/ The Russian Federation continues, as from 24 December 1991, the membership of the former Union of Soviet Socialist Republics (USSR) in the United Nations and maintains, as from that date, full responsibility for all the rights and obligations of the USSR under the Charter of the United Nations and multilateral treaties deposited with the Secretary-General.

d/ The former Yugoslavia had acceded to the Convention on 27 November 1978. By action effected on 12 March 2001 the Federal Republic of Yugoslavia declared the following: "The Convention became effective for Yugoslavia on 27 April 1992, the date of State succession."

Declarations and reservations

1/ Upon signature, Norway declared, and confirmed upon ratification, that in accordance with article 34 the Convention would not govern contracts of sale where the seller and the buyer both had their relevant places of business within the territories of the Nordic States (i.e. Norway, Denmark, Finland, Iceland and Sweden).

3. United Nations Convention on the Carriage of Goods by Sea, 1978 (Hamburg)

State	*Signature*	*Ratification, Accession (a), Succession (d)*	*Entry into force*
Austria	30 April 1979	29 July 1993	1 August 1994
Barbados		2 February 1981 a	1 November 1992
Botswana		16 February 1988 a	1 November 1992
Brazil	31 March 1978		
Burkina Faso		14 August 1989 a	1 November 1992
Burundi		4 September 1998 a	1 October 1999
Cameroon		21 October 1993 a	1 November 1994
Chile	31 March 1978	9 July 1982	1 November 1992
Czech Republic a/	2 June 1993 d	23 June 1995	1 July 1996
Democratic Republic of the Congo	19 April 1979		
Denmark	18 April 1979		
Ecuador	31 March 1978		
Egypt	31 March 1978	23 April 1979	1 November 1992
Finland	18 April 1979		
France	18 April 1979		
Gambia		7 February 1996 a	1 March 1997
Georgia		21 March 1996 a	1 April 1997

State	*Signature*	*Ratification Accession (a) Succession (d)*	*Entry into force*
Germany	31 March 1978		
Ghana	31 March 1978		
Guinea		23 January 1991 a	1 November 1992
Holy See	31 March 1978		
Hungary	23 April 1979	5 July 1984	1 November 1992
Jordan		**10 May 2001 a**	**1 June 2002**
Kenya		31 July 1989 a	1 November 1992
Lebanon		4 April 1983 a	1 November 1992
Lesotho		26 October 1989 a	1 November 1992
Madagascar	31 March 1978		
Malawi		18 March 1991 a	1 November 1992
Mexico	31 March 1978		
Morocco		12 June 1981 a	1 November 1992
Nigeria		7 November 1988 a	1 November 1992
Norway	18 April 1979		
Pakistan	8 March 1979		
Panama	31 March 1978		
Philippines	14 June 1978		
Portugal	31 March 1978		
Romania		7 January 1982 a	1 November 1992
Saint Vincent and the Grenadines		**12 September 2000 a**	**1 October 2001**
Senegal	31 March 1978	17 March 1986	1 November 1992
Sierra Leone	15 August 1978	7 October 1988	1 November 1992
Singapore	31 March 1978		
Slovakia a	28 May 1993 d		
Sweden	18 April 1979		
Tunisia		15 September 1980 a	1 November 1992
Uganda		6 July 1979 a	1 November 1992
United Republic of Tanzania		24 July 1979 a	1 November 1992
United States of America	30 April 1979		
Venezuela	31 March 1978		
Zambia		7 October 1991 a	1 November 1992

Parties: 28

a/ The Convention was signed by the former Czechoslovakia on 6 March 1979. On 28 May 1993, Slovakia and on 2 June 1993, the Czech Republic deposited their instruments of succession to the signature and the Czech Republic deposited its instrument of ratification on 23 June 1995. The Czech Republic, upon ratification, withdrew the declaration, referred to in footnote 1/, that had been made by the former Czechoslovakia.

Declarations and reservations

1/ Upon signing the Convention, the former Czechoslovakia declared in accordance with article 26 the formula for converting the amounts of liability referred to in paragraph 2 of that article into the Czechoslovak currency and the amount of the limits of liability to be applied in the territory of Czechoslovakia as expressed in the Czechoslovak currency.

4. United Nations Convention on Contracts for the International Sale of Goods (Vienna, 1980)

State	*Signature*	*Ratification, Accession (a), Approval (AA), Acceptance (A), succession (d)*	*Entry into force*
Argentina 1/		19 July 1983 a	1 January 1988
Australia		17 March 1988 a	1 April 1989
Austria	11 April 1980	29 December 1987	1 January 1989
Belarus 1/		9 October 1989 a	1 November 1990
Belgium		31 October 1996 a	1 November 1997
Bosnia and Herzegovina		12 January 1994 d	6 March 1992
Bulgaria		9 July 1990 a	1 August 1991
Burundi		4 September 1998 a	1 October 1999
Canada 2/		23 April 1991 a	1 May 1992
Chile 1/	11 April 1980	7 February 1990	1 March 1991
China 3/	30 September 1981	11 December 1986 AA	1 January 1988
Croatia d/		8 June 1998 d	8 October 1991
Cuba		2 November 1994 a	1 December 1995
Czech Republic a/ 7/		30 September 1993 d	1 January 1993
Denmark 4/	26 May 1981	14 February 1989	1 March 1990
Ecuador		27 January 1992 a	1 February 1993
Egypt		6 December 1982 a	1 January 1988

State	*Signature*	*Ratification (a), Accession (a), Approval (AA), Acceptance (A), succession (d)*	*Entry into force*
Estonia 1/		20 September 1993 a	1 October 1994
Finland 4/	26 May 1981	15 December 1987	1 January 1989
France	27 August 1981	6 August 1982 AA	1 January 1988
Georgia		16 August 1994 a	1 September 1995
Germany b/ 5/	26 May 1981	21 December 1989	1 January 1991
Ghana	11 April 1980		
Greece		12 January 1998 a	1 February 1999
Guinea		23 January 1991 a	1 February 1992
Hungary 1/ 6/	11 April 1980	16 June 1983	1 January 1988
Iceland		**10 May 2001 a**	**1 June 2002**
Iraq		5 March 1990 a	1 April 1991
Italy	30 September 1981	11 December 1986	1 January 1988
Kyrgyzstan		11 May 1999 a	1 June 2000
Latvia 1/		31 July 1997 a	1 August 1998
Lesotho	18 June 1981	18 June 1981	1 January 1988
Lithuania 1/		18 January 1995 a	1 February 1996
Luxembourg		30 January 1997 a	1 February 1998
Mauritania		20 August 1999 a	1 September 2000
Mexico		29 December 1987 a	1 January 1989
Mongolia		31 December 1997 a	1 January 1999
Netherlands	29 May 1981	13 December 1990 A	1 January 1992
New Zealand		22 September 1994 a	1 October 1995
Norway 4/	26 May 1981	20 July 1988	1 August 1989
Peru		25 March 1999 a	1 April 2000
Poland	28 September 1981	19 May 1995	1 June 1996
Republic of Moldova		13 October 1994 a	1 November 1995
Romania		22 May 1991 a	1 June 1992
Russian Federation c/ 1/		16 August 1990 a	1 September 1991
Saint Vincent and the Grenadines 7/		**12 September 2000 a**	**1 October 2001**
Singapore 7/	11 April 1980	16 February 1995	1 March 1996
Slovakia a/ 7/		28 May 1993 d	1 January 1993

State	*Signature*	*Ratification (a), Accession (a), Approval (AA), Acceptance (A), succession (d)*	*Entry into force*
Slovenia		7 January 1994 d	25 June 1991
Spain		24 July 1990 a	1 August 1991
Sweden 4	26 May 1981	15 December 1987	1 January 1989
Switzerland		21 February 1990 a	1 March 1991
Syrian Arab Republic		19 October 1982 a	1 January 1988
Uganda		12 February 1992 a	1 March 1993
Ukraine 1/		3 January 1990 a	1 February 1991
United States of America 7/	31 August 1981	11 December 1986	1 January 1988
Uruguay		25 January 1999 a	1 February 2000
Uzbekistan		27 November 1996 a	1 December 1997
Venezuela	28 September 1981		
Yugoslavia e/		**12 March 2001 d**	**27 April 1992**
Zambia		6 June 1986 a	1 January 1988

Parties: 59

a/ The Convention was signed by the former Czechoslovakia on 1 September 1981 and an instrument of ratification was deposited on 5 March 1990, with the Convention entering into force for the former Czechoslovakia on 1 April 1991. 7/ On 28 May 1993 Slovakia, and on 30 September 1993 the Czech Republic, deposited instruments of succession, with effect from 1 January 1993, the date of succession of States.

b/ The Convention was signed by the former German Democratic Republic on 13 August 1981, ratified on 23 February 1989 and entered into force on 1 March 1990.

c/ The Russian Federation continues, as from 24 December 1991, the membership of the former Union of Soviet Socialist Republics (USSR) in the United Nations and maintains, as from that date, full responsibility for all the rights and obligations of the USSR under the Charter of the United Nations and multilateral treaties deposited with the Secretary-General.

d/ Upon succeeding to the Convention, the Republic of Croatia has decided, on the basis of the Constitutional Decision on Sovereignty and Independence of the Republic of Croatia of 25 June 1991, and the Decision of the Croatian Parliament of 8 October 1991, and by virtue of succession of the Socialist Federal Republic of Yugoslavia in respect of the territory of the Republic of Croatia, to be considered a party to the Convention with effect as from 8 October 1991, the date on which the

Republic of Croatia severed all constitutional and legal connections with the Socialist Federal Republic of Yugoslavia and took over its international obligations.

e/ The former Yugoslavia had signed and ratified the Convention on 11 April 1980 and 27 March 1985, respectively. By action effected on 12 March 2001 the Federal Republic of Yugoslavia declared the following: "The Convention became effective for Yugoslavia on 27 April 1992, the date of State succession."

Declarations and reservations

1/ State declared, in accordance with articles 12 and 96 of the Convention, that any provision of article 11, article 29 or Part II of the Convention that allows a contract of sale or its modification or termination by agreement or any offer, acceptance or other indication of intention to be made in any form other than in writing, would not apply where any party had his place of business in its territory.

2/ Upon accession, Canada declared that, in accordance with article 93 of the Convention, the Convention will extend to Alberta, British Columbia, Manitoba, New Brunswick, Newfoundland, Nova Scotia, Ontario, Prince Edward Island and the Northwest Territories. (Upon accession, Canada declared that, in accordance with article 95 of the Convention, with respect to British Columbia, it will not be bound by article 1(1)(b) of the Convention. In a notification received on 31 July 1992, Canada withdrew that declaration.) In a declaration received on 9 April 1992, Canada extended the application of the Convention to Quebec and Saskatchewan. In a notification received on 29 June 1992, Canada extended the application of the Convention to Yukon.

3/ Upon approving the Convention, the People's Republic of China declared that it did not consider itself bound by sub-paragraph (b) of paragraph (1) of article 1 and article 11 as well as the provisions in the Convention relating to the content of article 11.

4/ Upon ratifying the Convention, Denmark, Finland, Norway and Sweden declared in accordance with article 92(1) that they would not be bound by Part II of the Convention (Formation of the Contract). Upon ratifying the Convention, Denmark, Finland, Norway and Sweden declared, pursuant to article 94(1) and 94(2), that the Convention would not apply to contracts of sale where the parties have their places of business in Denmark, Finland, Sweden, Iceland or Norway.

5/ Upon ratifying the Convention, Germany declared that it would not apply article 1(1)(b) in respect of any State that had made a declaration that that State would not apply article 1(1)(b).

6/ Upon ratifying the Convention, Hungary declared that it considered the General Conditions of Delivery of Goods between Organizations of the Member Countries of the Council for Mutual Economic Assistance to be subject to the provisions of article 90 of the Convention.

7/ State declared that it would not be bound by paragraph (1)(b) of article 1.

5. United Nations Convention on International Bills of Exchange and International Promissory Notes (New York, 1988)

State	*Signature*	*Ratification Accession (a)*	*Entry into force*
Canada	7 December 1989		
nea		23 January 1991 a	
Mexico		11 September 1992 a	
Russian Federation a	30 June 1990		
United States of America	29 June 1990		

Signatures only: 3, ratifications and accessions: 2

(10 ACTIONS ARE REQUIRED FOR ENTRY INTO FORCE)

a/ The Russian Federation continues, as from 24 December 1991, the membership of the former Union of Soviet Socialist Republics (USSR) in the United Nations and maintains, as from that date, full responsibility for all the rights and obligations of the USSR under the Charter of the United Nations and multilateral treaties deposited with the Secretary-General.

6. United Nations Convention on the Liability of Operators of Transport Terminals in International Trade (Vienna, 1991)

State	*Signature*	*Ratification Accession (a)*	*Entry into force*
Egypt		6 April 1999 a	
France	15 October 1991		
Georgia		21 March 1996 a	
Mexico	19 April 1991		
Philippines	19 April 1991		
Spain	19 April 1991		
United States of America	30 April 1992		

Signatures only: 5, ratifications and accessions: 2

(5 ACTIONS ARE REQUIRED FOR ENTRY INTO FORCE)

7. United Nations Convention on Independent Guarantees and Stand-by Letters of Credit (New York, 1995)

State	*Signature*	*Ratification Accession (a)*	*Entry into force*
Belarus	3 December 1996		
Ecuador		18 June 1997 a	1 January 2000
El Salvador	5 September 1997	31 July 1998	1 January 2000
Kuwait		28 October 1998 a	1 January 2000
Panama	9 July 1997	21 May 1998	1 January 2000
Tunisia		8 December 1998 a	1 January 2000
United States of America	11 December 1997		

Signatures only: 4. ratifications and accessions: 5

8. Convention on the Recognition and Enforcement of Foreign Arbitral Awards (New York, 1958)

State	*Signature*	*Ratification Accession (a) Succession (d)*	*Entry into force*
Algeria 1/ 2/		7 February 1989 a	8 May 1989
Antigua and Barbuda 1/ 2/		2 February 1989 a	3 May 1989
Argentina 1/ 2/ 7/	26 August 1958	14 March 1989	12 June 1989
Armenia 1/ 2/		29 December 1997 a	29 March 1998
Australia		26 March 1975 a	24 June 1975
Austria		2 May 1961 a	31 July 1961
Azerbaijan		29 February 2000 a	29 May 2000
Bahrain 1/ 2/		6 April 1988 a	5 July 1988
Bangladesh		6 May 1992 a	4 August 1992
Barbados 2/		16 March 1993 a	14 June 1993
Belarus 1/ 3/	29 December 1958	15 November 1960	13 February 1961
Belgium 1/	10 June 1958	18 August 1975	16 November 1975
Benin		16 May 1974 a	14 August 1974
Bolivia		28 April 1995 a	27 July 1995
Bosnia and Herzegovina e/ 1/ 2/ 6/		1 September 1993 d	6 March 1992

State	*Signature*	*Ratification, Accession (a), Succession (d)*	*Entry into force*
Botswana 1/ 2/		20 December 1971 a	19 March 1972
Brunei Darussalam 1/		25 July 1996 a	23 October 1996
Bulgaria 1/ 3/	17 December 1958	10 October 1961	8 January 1962
Burkina Faso		23 March 1987 a	21 June 1987
Cambodia		5 January 1960 a	4 April 1960
Cameroon		19 February 1988 a	19 May 1988
Canada 4/		12 May 1986 a	10 August 1986
Central African Republic 1/ 2/		15 October 1962 a	13 January 1963
Chile		4 September 1975 a	3 December 1975
China 1/ 2/		22 January 1987 a	22 April 1987
Colombia		25 September 1979 a	24 December 1979
Costa Rica	10 June 1958	26 October 1987	24 January 1988
Côte d' Ivoire		1 February 1991 a	2 May 1991
Croatia e/ 1/ 2/ 6/		26 July 1993 d	8 October 1991
Cuba 1/ 2/ 3/		30 December 1974 a	30 March 1975
Cyprus 1/ 2/		29 December 1980 a	29 March 1981
Czech Republic a/ e/		30 September 1993 d	1 January 1993
Denmark 1/ 2/		22 December 1972 a	22 March 1973
Djibouti e/		14 June 1993 d	27 June 1977
Dominica		28 October 1988 a	26 January 1989
Ecuador 1/ 2/	17 December 1958	3 January 1962	3 April 1962
Egypt		9 March 1959 a	7 June 1959
El Salvador	10 June 1958	26 February 1998	27 May 1998
Estonia		30 August 1993 a	28 November 1993
Finland	29 December 1958	19 January 1962	19 April 1962
France 1/	25 November 1958	26 June 1959	24 September 1959
Georgia		2 June 1994 a	31 August 1994
Germany b/ 1/ 10/	10 June 1958	30 June 1961	28 September 1961
Ghana		9 April 1968 a	8 July 1968
Greece 1/ 2/		16 July 1962 a	14 October 1962
Guatemala 1/ 2/		21 March 1984 a	19 June 1984
Guinea		23 January 1991 a	23 April 1991
Haiti		5 December 1983 a	4 March 1984

State	*Signature*	*Ratification, Accession (a), Succession (d)*	*Entry into force*
Holy See 1/ 2/		14 May 1975 a	12 August 1975
Honduras		**3 October 2000 a**	**1 January 2001**
Hungary 1/ 2/		5 March 1962 a	3 June 1962
India 1/ 2/	10 June 1958	13 July 1960	11 October 1960
Indonesia 1/ 2/		7 October 1981 a	5 January 1982
Ireland 1/		12 May 1981 a	10 August 1981
Israel	10 June 1958	5 January 1959	7 June 1959
Italy		31 January 1969 a	1 May 1969
Japan 1/		20 June 1961 a	18 September 1961
Jordan	10 June 1958	15 November 1979	13 February 1980
Kazakhstan		20 November 1995 a	18 February 1996
Kenya 1/		10 February 1989 a	11 May 1989
Kuwait 1/		28 April 1978 a	27 July 1978
Kyrgyzstan		18 December 1996 a	18 March 1997
Lao People's Democratic Republic		17 June 1998 a	15 September 1998
Latvia		14 April 1992 a	13 July 1992
Lebanon 1/		11 August 1998 a	9 November 1998
Lesotho		13 June 1989 a	11 September 1989
Lithuania 3/		14 March 1995 a	12 June 1995
Luxembourg 1/	11 November 1958	9 September 1983	8 December 1983
Madagascar 1/ 2/		16 July 1962 a	14 October 1962
Malaysia 1/ 2/		5 November 1985 a	3 February 1986
Mali		8 September 1994 a	7 December 1994
Malta 1/ 11/		22 June 2000 a	20 September 2000
Mauritania		30 January 1997 a	30 April 1997
Mauritius 1/		19 June 1996 a	17 September 1996
Mexico		14 April 1971 a	13 July 1971
Moldova 1/		18 September 1998	17 December 1998
Monaco 1/ 2/	31 December 1958	2 June 1982	31 August 1982
Mongolia 1/ 2/		24 October 1994 a	22 January 1995
Morocco 1/		12 February 1959 a	7 June 1959
Mozambique 1/		11 June 1998 a	9 September 1998
Nepal 1/ 2/		4 March 1998 a	2 June 1998

State	*Signature*	*Ratification Accession (a) Succession (d)*	*Entry into force*
Netherlands 1/	10 June 1958	24 April 1964	23 July 1964
New Zealand 1/		6 January 1983 a	6 April 1983
Niger		14 October 1964 a	12 January 1965
Nigeria 1/ 2/		17 March 1970 a	15 June 1970
Norway 1/ 5/		14 March 1961 a	12 June 1961
Oman		25 February 1999 a	26 May 1999
Pakistan	30 December 1958		
Panama		10 October 1984 a	8 January 1985
Paraguay		8 October 1997 a	6 January 1998
Peru		7 July 1988 a	5 October 1988
Philippines 1/ 2/	10 June 1958	6 July 1967	4 October 1967
Poland 1/ 2/	10 June 1958	3 October 1961	1 January 1962
Portugal c/ 1/		18 October 1994 a	16 January 1995
Republic of Korea 1/ 2/		8 February 1973 a	9 May 1973
Republic of Moldova 1/		18 September 1998 a	17 December 1998
Romania 1/ 2/ 3/		13 September 1961 a	12 December 1961
Russian Federation d/ 1/ 3/	29 December 1958	24 August 1960	22 November 1960
Saint Vincent and the Grenadines 1/ 2/		**12 September 2000 a**	**11 December 2000**
San Marino		17 May 1979 a	15 August 1979
Saudi Arabia		19 April 1994 a	18 July 1994
Senegal		17 October 1994 a	15 January 1995
Singapore 1/		21 August 1986 a	19 November 1986
Slovakia a/ e/		28 May 1993 d	1 January 1993
Slovenia e/ 1/ 2/ 6/		6 July 1992 d	25 June 1991
South Africa		3 May 1976 a	1 August 1976
Spain		12 May 1977 a	10 August 1977
Sri Lanka	30 December 1958	9 April 1962	8 July 1962
Sweden	23 December 1958	28 January 1972	27 April 1972
Switzerland 8/	29 December 1958	1 June 1965	30 August 1965
Syrian Arab Republic		9 March 1959 a	7 June 1959

State	*Signature*	*Ratification, Accession (a), Succession (d)*	*Entry into force*
Thailand		21 December 1959 a	20 March 1960
The former Yugoslav Republic of Macedonia c/ 1/ 2/ 6/		10 March 1994 d	17 September 1991
Trinidad and Tobago 1/ 2/		14 February 1966 a	15 May 1966
Tunisia 1/ 2/		17 July 1967 a	15 October 1967
Turkey 1/ 2/		2 July 1992 a	30 September 1992
Uganda 1/		12 February 1992 a	12 May 1992
Ukraine 1/ 3/	29 December 1958	10 October 1960	8 January 1961
United Kingdom of Great Britain and Northern Ireland 1/		24 September 1975 a	23 December 1975
United Republic of Tanzania 1/		13 October 1964 a	12 January 1965
United States of America 1/ 2/		30 September 1970 a	29 December 1970
Uruguay		30 March 1983 a	28 June 1983
Uzbekistan		7 February 1996 a	7 May 1996
Venezuela 1/ 2/		8 February 1995 a	9 May 1995
Vietnam 1/ 2/ 3/ 9/		12 September 1995 a	11 December 1995
Yugoslavia f/ 1/ 2/ 6/		**12 March 2001 d**	**27 April 1992**
Zimbabwe		29 September 1994 a	28 December 1994

Parties: 126

a/ The Convention was signed by the former Czechoslovakia on 3 October 1958 and an instrument of ratification was deposited on 10 July 1959. On 28 May 1993, Slovakia and, on 30 September 1993, the Czech Republic deposited instruments of succession.

b/ The Convention was acceded to by the former German Democratic Republic on 20 February 1975 with reservations 1/, 2/ and 3/.

c/ On 12 November 1999, Portugal presented a declaration of territorial application of the Convention in respect of Macau. The notification has taken effect for Macau on 10 February 2000, in accordance with article X(2).

d/ The Russian Federation continues, as from 24 December 1991, the membership of the former Union of Soviet Socialist Republics (USSR) in the United Nations and maintains, as from that date, full responsibility for all the rights and obligations of

the USSR under the Charter of the United Nations and multilateral treaties deposited with the Secretary-General.

e/ The date of effect of the succession is as follows: for Bosnia and Herzegovina, 6 March 1992; for Croatia, 8 October 1991; for Czech Republic, 1 January 1993; for Djibouti, 27 June 1977; for Slovakia, 1 January 1993; for Slovenia, 25 June 1991, and for The former Yugoslav Republic of Macedonia, 17 September 1991.

f/ The former Yugoslavia had acceded to the Convention on 26 February 1982. On 12 March 2001, the Secretary-General received from the Government of the Federal Republic of Yugoslavia a notification of succession, confirming the declaration dated 28 June 1982 by the Socialist Federal Republic of Yugoslavia (see footnotes 1/, 2/ and 6/).

Declarations and reservations
(Excludes territorial declarations and certain other reservations and declarations of a political nature)

1/ State will apply the Convention only to recognition and enforcement of awards made in the territory of another Contracting State.

2/ State will apply the Convention only to differences arising out of legal relationships whether contractual or not which are considered as commercial under the national law.

3/ With regard to awards made in the territory of non-contracting States, State will apply the Convention only to the extent to which these States grant reciprocal treatment.

4/ Canada declared that it will apply the Convention only to differences arising out of legal relationships, whether contractual or not, which are considered as commercial under the laws of Canada, except in the case of the Province of Quebec where the law does not provide for such limitation.

5/ State will not apply the Convention to differences where the subject matter of the proceedings is immovable property situated in the State, or a right in or to such property.

6/ State will apply the Convention only to those arbitral awards which were adopted after the coming of the Convention into effect.

7/ Argentina declared that the present Convention should be construed in accordance with the principles and rules of the National Constitution in force or with those resulting from reforms mandated by the Constitution.

8/ On 23 April 1993, Switzerland notified the Secretary-General of its decision to withdraw the reciprocity declaration it had made upon ratification.

9/ Viet Nam declared that interpretation of the Convention before the Vietnamese Courts or competent Authorities should be made in accordance with the Constitution and the law of Viet Nam.

10/ On 31 August 1998, Germany withdrew the reservation made upon ratification mentioned in footnote 1.

11/ The Convention only applies in regard to Malta with respect to arbitration agreements concluded after the date of Malta's accession to the Convention.

II. Enactments of model laws

9. UNCITRAL Model Law on International Commercial Arbitration (1985)

Legislation based on the ***UNCITRAL Model Law on International Commercial Arbitration*** has been enacted in Australia, Bahrain, **Belarus**, Bermuda, Bulgaria, Canada, Cyprus, Egypt, Germany, **Greece**, Guatemala, Hong Kong Special Administrative Region of China, Hungary, India, Iran (Islamic Republic of), Ireland, Kenya, Lithuania, Macau Special Administrative Region of China, **Madagascar**, Malta, Mexico, New Zealand, Nigeria, Oman, Peru, **Republic of Korea**, Russian Federation, Singapore, Sri Lanka, Tunisia, Ukraine, within the United Kingdom of Great Britain and Northern Ireland: Scotland; within the United States of America: California, Connecticut, Oregon and Texas; and Zimbabwe.

10. UNCITRAL Model Law on International Credit Transfers (1992)

A directive of the European Parliament and of the Council of the European Union based on the principles of the UNCITRAL Model Law on International Credit Transfers was issued on 27 January 1997.

11. UNCITRAL Model Law on Procurement of Goods, Construction and Services (1994)

Legislative texts based on or largely inspired by the UNCITRAL Model Law on Procurement of Goods, Construction and Services has been adopted in various countries including Albania, Azerbaijan, Croatia, Estonia, Kazakhstan, Kenya, Kyrgyzstan, Poland, Tanzania, Uganda and Uzbekistan.

12. UNCITRAL Model Law on Electronic Commerce (1996)

Legislation based on the UNCITRAL Model Law on Electronic Commerce has been adopted in Australia, Bermuda, Colombia, France, Hong Kong Special Administrative Region of China, **Ireland, Philippines**, Republic of Korea, Singapore, **Slovenia, the States of Jersey (Crown Dependency of the United Kingdom of Great Britain and Northern Ireland)** and, within the United States of America, Illinois.

Uniform legislation influenced by the Model Law and the principles on which it is based has been prepared in Canada (Uniform Electronic Commerce Act, adopted in 1999 by the Uniform Law Conference of Canada) and in the United States (Uniform Electronic Transactions Act, adopted in 1999 by the National Conference of Commissioners on Uniform State Law) and enacted as law by a number of jurisdictions in those countries.

13. UNCITRAL Model Law on Cross-Border Insolvency (1997)

Legislation based on the UNCITRAL Model Law on Cross-Border Insolvency has been adopted in Eritrea, Mexico **and South Africa.**

III. Chronological table of actions in respect of conventions

1. Convention on the Limitation Period in the International Sale of Goods, concluded at New York on 14 June 1974, as amended by the Protocol of 11 April 1980

Egypt, 6 December 1982
Hungary, 16 June 1983
Argentina, 19 July 1983
Zambia, 6 June 1986
Mexico, 21 January 1988
Guinea, 23 January 1991
Uganda, 12 February 1992
Romania, 23 April 1992
Slovakia **/ 28 May 1993
Czech Republic, **/ 30 September 1993
United States of America, 5 May 1994
Cuba, 2 November 1994
Poland, 19 May 1995
Slovenia, 2 August 1995
Belarus, 23 January 1997
Uruguay, 1 April 1997
Republic of Moldova, 28 August 1997

a/ The former German Democratic Republic acceded to this Protocol on 31 August 1989.

**/ Succession: the former Czechoslovakia acceded to this Protocol on 5 March 1990.

2. [Unamended] Convention on the Limitation Period in the International Sale of Goods (New York, 1974)

Ghana, 7 October 1975
Dominican Republic, 23 December 1977
Norway, 20 March 1980
Argentina, 9 October 1981
Egypt, 6 December 1982
Hungary, 16 June 1983
Zambia, 6 June 1986
Mexico, 21 January 1988
Guinea, 23 January 1991
Uganda, 12 February 1992
Romania, 23 April 1992
Slovakia, *[/] 28 May 1993
Ukraine, 13 September 1993
Czech Republic, *[/] 30 September 1993
Bosnia and Herzegovina, **[/] 12 January 1994
United States of America, 5 May 1994
Cuba, 2 November 1994
Poland, 19 May 1995
Slovenia, 2 August 1995
Belarus, 23 January 1997
Uruguay, 1 April 1997
Republic of Moldova, 28 August 1997
Burundi, 4 September 1998
Yugoslavia, **[/] 12 March 2001

a/ The former German Democratic Republic ratified this Convention on 31 August 1989.

*/ Succession; the former Czechoslovakia ratified this Convention on 26 May 1977.

**/ Succession; the former Yugoslavia had acceded to the Convention on 27 November 1978.

3. United Nations Convention on the Carriage of Goods by Sea, 1978 (Hamburg)

Egypt, 23 April 1979
Uganda, 6 July 1979
United Republic of Tanzania, 24 July 1979
Tunisia, 15 September 1980
Barbados, 2 February 1981
Morocco, 12 June 1981
Romania, 7 January 1982
Chile, 4 July 1982
Lebanon, 4 April 1983
Hungary, 5 July 1984
Senegal, 17 March 1986
Botswana, 16 February 1988
Sierra Leone, 7 October 1988
Nigeria, 7 November 1988
Kenya, 31 July 1989
Burkina Faso, 14 August 1989
Lesotho, 26 October 1989
Guinea, 23 January 1991
Malawi, 18 March 1991
Zambia, 7 October 1991
Austria, 29 July 1993
Cameroon, 21 October 1993
Czech Republic, 23 June 1995
Gambia, 7 February 1996
Georgia, 21 March 1996
Burundi, 4 September 1998
Saint Vincent and the Grenadines, 12 September 2000
Jordan, 10 May 2001

4. United Nations Convention on Contracts for the International Sale of Goods (Vienna, 1980)

Lesotho, 18 June 1981
France, 6 August 1982
Syrian Arab Republic, 19 October 1982
Egypt, 6 December 1982
Hungary, 16 June 1983
Argentina, 19 July 1983
Zambia, 6 June 1986
China, 11 December 1986
Italy, 11 December 1986
United States of America, 11 December 1986
Finland, 15 December 1987
Sweden, 15 December 1987
Austria, 29 December 1987
Mexico, 29 December 1987
Australia, 17 March 1988
Norway, 20 July 1988
Denmark, 14 February 1989
Belarus, 9 October 1989
Germany *, 21 December 1989
Ukraine, 3 January 1990
Chile, 7 February 1990
Switzerland, 21 February 1990
Iraq, 5 March 1990
Bulgaria, 9 July 1990
Spain, 24 July 1990
Russian Federation **, 16 August 1990
Netherlands, 13 December 1990
Guinea, 23 January 1991
Canada, 23 April 1991
Romania, 22 May 1991

Ecuador, 27 January 1992
Uganda, 12 February 1992
Slovakia ***, 28 May 1993
Estonia, 20 September 1993
Czech Republic, *** 30 September 1993
Slovenia, **** 7 January 1994
Bosnia and Herzegovina, **** 12 January 1994
Georgia, 16 August 1994
New Zealand, 22 September 1994
Republic of Moldova, 13 October 1994
Cuba, 2 November 1994
Lithuania, 18 January 1995
Singapore, 16 February 1995
Poland, 19 May 1995
Belgium, 31 October 1996
Uzbekistan, 27 November 1996
Luxembourg, 30 January 1997
Latvia, 31 July 1997
Mongolia, 31 December 1997
Greece, 12 January 1998
Croatia, 8 June 1998 ****
Burundi, 4 September 1998
Uruguay, 25 January 1999
Peru, 25 March 1999
Mauritania, 20 August 1999
Kyrgyzstan, 11 May 1999
Saint Vincent and the Grenadines, 12 September 2000
Yugoslavia, **** 12 March 2001
Iceland, 10 May 2001

*/ The former German Democratic Republic ratified this Convention on 23 February 1989.

**/ The Russian Federation continues, as from 24 December 1991, the membership of the former Union of Soviet Socialist Republics (USSR) in the United Nations and

maintains, as from that date, full responsibility for all the rights and obligations of the USSR under the Charter of the United Nations and multilateral treaties deposited with the Secretary-General.

*** Succession; the former Czechoslovakia ratified this Convention on 5 March 1990.

**** Succession; the former Yugoslavia had signed and ratified the Convention on 11 April 1980 and 27 March 1985, respectively.

5. United Nations Convention on International Bills of Exchange and International Promissory Notes (New York, 1988)

Guinea, 23 January 1991
Mexico, 15 September 1992

6. United Nations Convention on the Liability of Operators of Transport Terminals in International Trade (Vienna, 1991)

Georgia, 21 March 1996
Egypt, 6 April 1999

7. United Nations Convention on Independent Guarantees and Stand-by Letters of Credit (New York, 1995)

Ecuador, 18 June 1997
Panama, 21 May 1998
El Salvador, 31 July 1998
Kuwait, 28 October 1998
Tunisia, 8 December 1998

8. Convention on the Recognition and Enforcement of Foreign Arbitral Awards (New York, 1958)

Israel, 5 January 1959
Morocco, 12 February 1959
Egypt, 9 March 1959
Syrian Arab Republic, 9 March 1959
France, 26 July 1959
Thailand, 21 December 1959
Cambodia, 5 January 1960
India, 13 July 1960
Russian Federation **, 24 August 1960
Ukraine, 10 October 1960
Belarus, 15 November 1960
Norway, 14 March 1961
Austria, 2 May 1961
Japan, 20 June 1961
Germany *, 30 June 1961
Romania, 13 September 1961
Poland, 3 October 1961
Bulgaria, 10 October 1961
Ecuador, 3 January 1962
Finland, 19 January 1962
Hungary, 5 March 1962
Sri Lanka, 9 April 1962
Greece, 16 July 1962
Madagascar, 16 July 1962
Central African Republic, 15 October 1962
Netherlands, 24 April 1964
United Republic of Tanzania, 13 October 1964
Niger, 14 October 1964
Switzerland, 1 June 1965

Trinidad and Tobago, 14 February 1966
Philippines, 6 July 1967
Tunisia, 17 July 1967
Ghana, 9 April 1968
Italy, 31 January 1969
Nigeria, 17 March 1970
United States of America, 30 September 1970
Mexico, 14 April 1971
Botswana, 20 December 1971
Sweden, 28 January 1972
Denmark, 22 December 1972
Republic of Korea, 8 February 1973
Benin, 16 May 1974
Cuba, 30 December 1974
Australia, 26 March 1975
Holy See, 14 May 1975
Belgium, 18 August 1975
Chile, 4 September 1975
United Kingdom of Great Britain and Northern Ireland, 24 September 1975
South Africa, 3 May 1976
Spain, 12 May 1977
Kuwait, 28 April 1978
San Marino, 17 May 1979
Colombia, 25 September 1979
Jordan, 15 November 1979
Cyprus, 29 December 1980
Ireland, 12 May 1981
Indonesia, 7 October 1981
Monaco, 2 June 1982
New Zealand, 6 January 1983
Uruguay, 30 March 1983

Djibouti, 14 June 1983
Luxembourg, 9 September 1983
Haiti, 5 December 1983
Guatemala, 21 March 1984
Panama, 10 October 1984
Malaysia, 5 November 1985
Canada, 12 May 1986
Singapore, 21 August 1986
China, 22 January 1987
Burkina Faso, 23 March 1987
Costa Rica, 26 October 1987
Cameroon, 19 February 1988
Bahrain, 6 April 1988
Peru, 7 July 1988
Dominica, 28 October 1988
Antigua and Barbuda, 2 February 1989
Algeria, 7 February 1989
Kenya, 10 February 1989
Argentina, 14 March 1989
Lesotho, 13 June 1989
Guinea, 23 January 1991
Côte d'Ivoire, 1 February 1991
Uganda, 12 February 1992
Latvia, 14 April 1992
Bangladesh, 6 May 1992
Turkey, 2 July 1992
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Barbados, 16 March 1993
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Estonia, 30 August 1993

Bosnia and Herzegovina, 1 September 1993
Czech Republic, 30 September 1993
The former Yugoslav Republic of Macedonia, 10 March 1994
Saudi Arabia, 19 April 1994
Georgia, 2 June 1994
Mali, 8 September 1994
Zimbabwe, 29 September 1994
Senegal, 17 October 1994
Portugal, 18 October 1994
Mongolia, 24 October 1994
Venezuela, 8 February 1995
Lithuania, 15 March 1995
Bolivia, 28 April 1995
Viet Nam, 12 September 1995
Kazakhstan, 20 November 1995
Uzbekistan, 7 February 1996
Mauritius, 19 June 1996
Brunei Darussalam, 25 July 1996
Kyrgyzstan, 18 December 1996
Mauritania, 30 January 1997
Paraguay, 8 October 1997
Armenia, 29 December 1997
El Salvador, 26 February 1998
Nepal, 4 March 1998
Mozambique, 11 June 1998
Lao People's Democratic Republic, 17 June 1998
Lebanon, 11 August 1998
Republic of Moldova, 18 September 1998
Oman, 25 February 1999
Azerbaijan, 29 February 2000
Malta, 22 June 2000

Saint Vincent and the Grenadines 12 September 2000
Honduras 3 October 2000
Yugoslavia *** 12 March 2001

* The former German Democratic Republic acceded to the Convention on 20 February 1975.

** The Russian Federation continues, as from 24 December 1991, the membership of the former Union of Soviet Socialist Republics (USSR) in the United Nations and maintains, as from that date, full responsibility for all the rights and obligations of the USSR under the Charter of the United Nations and multilateral treaties deposited with the Secretary-General.

*** Succession; the former Yugoslavia had acceded to the Convention on 26 February 1982.

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Status of conventions and model laws

Note by the Secretariat

Corrigendum

1. **Page 2, paragraph 5, Convention on the Recognition and Enforcement of Foreign Arbitral Awards (New York, 1958)**

   For 126 States Parties *read* 125 States Parties

2. **Page 12, 5. United Nations Convention on International Bills of Exchange and International Promissory Notes (New York, 1988), table**

   For nea *read* Guinea

3. **8. Convention on the Recognition and Enforcement of Foreign Arbitral Awards (New York, 1958), table**

   (a) Page 15

   Delete Moldova 1/ 18 September 1998 17 December 1998

   (b) Page 17

   For *Parties: 126* read *Parties: 125*

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[1] Case-law on UNCITRAL texts (CLOUT) and bibliographical references thereto are contained in the document series A/CN.9/SER.C/... .

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Bibliography of recent writings related to the works of UNCITRAL

Note by the Secretariat

Corrigendum

At the end of the document *insert*

Annex

UNCITRAL legal texts

Short title	*Full title*
Hamburg Rules (1978)	United Nations Convention on the Carriage of Goods by Sea, 1978 (Hamburg)
Limitation Convention (1974/1980)	Convention on the Limitation Period in the International Sale of Goods, 1974 (New York) and Protocol amending the Convention on the Limitation Period in the International Sale of Goods, 1980 (Vienna)
UNCITRAL Arbitral Proceedings Notes (1996)	UNCITRAL Notes on Organizing Arbitral Proceedings (1996)
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UNCITRAL Conciliation Rules (1980)	UNCITRAL Conciliation Rules (1980)[1]
UNCITRAL Credit Transfer Law (1992)	UNCITRAL Model Law on International Credit Transfers (1992)[2]
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